

# National Income Dynamics Study

## Proxy Questionnaire Cover

# Wave 1: 2008

A proxy adult questionnaire should be administered to a knowledgeable household member when it is not possible to interview the relevant individual (aged 15+) in person.  
**NOTE: The proxy informant must be at least 18 years old.**

A1	PSU number									A2	Household questionnaire number							
A3	Household control sheet number										A4	Pcode for this person (unavailable adult) from the household roster						

### Details about unavailable adult

A5 <i>name</i>	First name	
A6 <i>surname</i>	Surname	
A7	Any other names by which this person is known?	
A8	Telephone number (Home)	(____) _____ - _____
A9	Telephone number (Work)	(____) _____ - _____
A10	Telephone number (Cell phone)	(____) _____ - _____
A11	Email address	
A12	Preferred languages (See code sheet for Language codes)	

### Proxy respondent details

A13	Surname		A14	Name	
A15	Relationship to the unavailable adult (See code sheet for Relationship codes)		A16	Pcode for the respondent from the household roster	

### Interviewer Details

A17	Interviewer Name				
A18 <i>intrv</i>	Date of interview (dd/mm/yyyy)	___/___/_____	A19 <i>intrvrt</i>	Interview start time	__:__:__

### Appointments Log

	Date (dd/mm/yyyy)	Time	Reason for appointment	
A20.1	___/___/_____	A20.2	__:__:__	A20.3
A21.1	___/___/_____	A21.2	__:__:__	A21.3
A22.1	___/___/_____	A22.2	__:__:__	A22.3
A23.1	___/___/_____	A23.2	__:__:__	A23.3
A24.1	___/___/_____	A24.2	__:__:__	A24.3
A25 <i>refexpl</i>	What is the reason for conducting a proxy interview? The unavailable adult is [...]		In an institution (e.g. hospital, prison)	01
			Studying away from home	02
			On holiday	03
			Away on business / for work	04
			Unable to be contacted	05
			Permanently too unwell or disabled	06
			Temporarily unwell	07
			Too old	08
			Deaf or has speech problems	09
			Has language problems	10
			Refused but allowed a proxy	11
			Temporarily away from home for other reasons ( <i>specify</i> )	12

# National Income Dynamics Study

## Consent Form: Proxy Questionnaire

A proxy adult questionnaire should be administered to a knowledgeable household member when it is not possible to interview the relevant individual (aged 15+) in person.

NOTE: The proxy informant must be at least 18 years old.

This is a study about household composition and migration, household income and expenditure, employment and schooling in South Africa. This project is run by researchers at the University of Cape Town on behalf of the Presidency of South Africa. The purpose of this study is to learn more about how people in South Africa are faring over time.

As part of this study, we would like to ask you some questions about your household members' education, employment, income and health. Although they are unable to answer these questions themselves, their information still important to this study.

Before we begin the interview, we want to make sure you understand the following information about the study:

- Your participation is entirely voluntary. You may refuse to take part in the interview, and you may stop at any time if you do not want to continue. You also have the right to skip any particular question or questions if you do not wish to answer them.
- The time it takes to complete the interview will vary depending on how many sections of the questionnaire are relevant to you, but the average amount of time for this interview is an hour.
- You have the right to ask questions at any point before the interview, during the interview, or after the interview is completed.
- All information collected for this study will be kept strictly confidential. While the data collected will be used for research purposes, information that could identify you or your household will never be publicly released in any research report or publication.
- The intention of the study is to conduct further interviews with you in the future. As a result, your personal details will be kept on record in order that you can be re-contacted to participate in future studies that form part of this project. However, we will ask your permission to participate in the survey again each time. Agreeing to participate now does not mean you have to participate in future surveys.

By signing below, you signify that you agree to participate in the study, and that your participation is entirely voluntary.

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
DATE

Fieldworker and supervisor to countersign below if respondent is not able to sign:

\_\_\_\_\_  
SIGNATURE: FIELDWORKER

\_\_\_\_\_  
SIGNATURE: SUPERVISOR

If you have questions about this interview or the NIDS project you can call us at 0800 11 NIDS (6437), fax us on 021-650-5697 or email us at [nids-survey@uct.ac.za](mailto:nids-survey@uct.ac.za).

This study has been reviewed and approved by the ethical review committee of The University of Cape Town. Feel free to contact Sharon Apolles, Senate Officer, Bremner Building, email: [sharon.apolles@uct.ac.za](mailto:sharon.apolles@uct.ac.za), Tel: 021-650 2191 should you have any queries or complaints.




## Section B: Demographics

<b>INTERVIEWER READ OUT:</b> We would like to ask you some questions about [...]’s background.							
<b>B1</b> <i>dob</i>	Can you tell me when [...] was born? <b>Interviewer: Write 99 if month and/or day unknown, write 9999 if year unknown</b>	<b>SD</b>					
		<b>dd</b>	<b>mm</b>	<b>yyyy</b>			
<b>B2</b> <i>gen</i>	What is [...]’s gender	Male				1	
		Female				2	
<b>B3</b> <i>popgrp</i>	What population group does [...] belong to?	African				1	
		Coloured				2	
		Asian/Indian				3	
		White				4	
		Other (specify)				5	
		Refused				-8	
<b>B4</b> <i>lng</i>	Which language does [...] usually speak at home? <b>Interviewer: See code sheet for Language codes</b>	Language					
		<b>If other, specify here</b>					
<b>B5</b> <i>marstt</i>	What is [...]’s current marital status?	Married				1	
		Living with partner				2	
		Widow/Widower				3	
		Divorced or separated				4	
		Never married				5	
<b>B6</b> <i>slpw</i>	Over the past 7 nights, how many nights did [...] sleep under this roof? <b>Interviewer: If all, write 7</b>						
<b>B7</b> <i>slpm</i>	Over the past month, how many nights did [...] sleep under this roof? <b>Interviewer: If all, write 31</b>						
<b>B8</b> <i>moveyr</i>	In what year did [...] move to this place? <b>Interviewer: Emphasise we are collecting information on change of PLACE, not change of house or suburb within a place/town/city. If never moved, write 7777 and → SKIP TO C1</b>						

<b>INTERVIEWER READ OUT:</b> Now we would like to know about the different places that [...] has lived. In each instance please give the suburb, town, province and country if not South Africa						
		Suburb	Town/City	Province See code sheet for Province codes	Country (If not South Africa)	Geo Code (For office use only)
<b>B9</b>	Where was [...] born?	<i>brnsub</i> <b>SD</b>	<i>brntwn</i> <b>SD</b>	<i>brnprov</i>	<i>brncc</i>	
<b>B10</b>	Where did [...] live before moving to the place where you and [...] are living now? <b>Interviewer: If the same as B9, write 7777</b>	<i>lvbfsb</i> <b>SD</b>	<i>lvbftwn</i> <b>SD</b>	<i>lvbfpov</i>	<i>lvbfcc</i>	
<b>B11</b>	Where did [...] live in 1994? <b>Interviewer: If the same as current location, write 7777.</b>	<i>lv94sub</i> <b>SD</b>	<i>lv94twn</i> <b>SD</b>	<i>lv94prov</i>	<i>lv94cc</i>	

<b>B12</b>	Where did [...] live in February 2006? <b>Interviewer: If the same as current location, write 7777.</b>	<i>lv06sub</i> <b>SD</b>	<i>lv06twn</i> <b>SD</b>	<i>lv06prov</i>	<i>lv06cc</i>	
------------	------------------------------------------------------------------------------------------------------------	-----------------------------	-----------------------------	-----------------	---------------	--


## Section C: Labour market participation

<b>INTERVIEWER READ OUT:</b> Now we would like to ask you whether [...] is working or unemployed or not wanting to work right now.			
<b>C1</b> <i>emactcur_u</i> 	Which one of the following best describes what [...] is doing currently?  <b>Interviewer: Read out options</b>	Working for pay	1
		Self-employed	2
		Working on own plot or looking after livestock	3
		Helping another family member with their business, without pay → <b>SKIP TO D1</b>	4
		Full-time scholar or student at school, university, college or another educational institution → <b>SKIP TO D1</b>	5
		Homemaker (looking after children / others / home) → <b>SKIP TO D1</b>	6
		Long term sick or disabled → <b>SKIP TO D1</b>	7
		Retired → <b>SKIP TO D1</b>	8
		Unemployed → <b>SKIP TO D1</b>	9
<b>C2</b> <i>em1wrk</i> <b>SD</b>	What kind of work does [...] usually do in their job/business? Give occupation or job title. <b>Interviewer: Record at least two words: car sales person, office cleaner, vegetable farmer, primary school teacher, etc.</b>		
<b>C3</b> <i>em1task</i> <b>SD</b>	What are [...]’s <u>main</u> tasks or duties in this work? For example some people sell fruit or repair machines or keep accounts or deliver things or look after cattle.		
<b>C4</b> <i>em1wrkplac</i> <b>SD</b>	What is the name of [...]’s place of work, for example, it might be Pick ‘n’ Pay or a government department or a bank or your own home?  <b>Interviewer: For government or large organisations, give the name of the establishment and branch or division: e.g. Education Dept – Rapele Primary School; ABC Gold Mining - Maintenance Div. Write “Own house” or “No fixed location”, if relevant. For domestic workers write “private household”.</b>		
<b>C5</b> <i>em1goods</i> <b>SD</b>	What are the main goods and services produced at [...]’s place of work or what are its main functions, for example, making electrical appliances or repairing cars or selling houses or primary education?  <b>Interviewer: For domestic workers write “private household”.</b>		
<b>C6</b> <i>em1inc_sh</i>	Do you know roughly what [...] earns from this job or business? Please would you look at the show card and point out the most accurate earnings category for last month’s take home pay? <b>Interviewer: Show the income categories on the show card and record the appropriate code for [...]’s monthly earnings.</b>	Income category code	
		Refused	-8
		Don’t know	-9








## Section D: Individual income from non-employment sources

**INTERVIEWER READ OUT:** In this section we are going to talk about any money or any form of assistance that [...] may receive which does not involve employment of some kind.

As I read a list of the different ways in which people can receive money or assistance, please indicate whether [...] did, in fact, receive such assistance or not in the last month.


		1. Did [...] receive income or assistance from [ .. ] in the last month ?   <b>Interviewer: If no, → SKIP TO NEXT</b>	2. How much did [...] receive last month?
		Yes    No	Rand
<b>D1</b> <i>incgovpen</i>	State (South African government) old age pension	1    2	<i>incgovpen_v</i>
<b>D2</b> <i>incppen</i>	Private pension or foreign pension	1    2	<i>incppen_v</i>
<b>D3</b> <i>incret</i>	Private retirement annuity	1    2	<i>incret_v</i>
<b>D4</b> <i>incretp</i>	Retirement gratuity or retirement package	1    2	<i>incretp_v</i>
<b>D5</b> <i>incuif</i>	Unemployment insurance (UIF)	1    2	<i>incuif_v</i>
<b>D6</b> <i>incwvc</i>	Workmen's compensation	1    2	<i>incwvc_v</i>
<b>D7</b> <i>incdis</i>	Disability grant	1    2	<i>incdis_v</i>
<b>D8</b> <i>incchld</i>	Child support grant	1    2	<i>incchld_v</i>
<b>D9</b> <i>incfos</i>	Foster care grant	1    2	<i>incfos_v</i>
<b>D10</b> <i>inccare</i>	Care dependency grant	1    2	<i>inccare_v</i>
<b>D11</b> <i>incint</i>	Interest earnings including dividends, interest from savings, loans	1    2	<i>incint_v</i>
<b>D12</b> <i>incinh</i>	Inheritances	1    2	<i>incinh_v</i>
<b>D13</b> <i>incwar</i>	War veterans pension	1    2	<i>incwar_v</i>
<b>D14</b> <i>incrnt</i>	Rental income	1    2	<i>incrnt_v</i>
<b>D15</b> <i>inretr</i>	Retrenchment package	1    2	<i>inretr_v</i>
<b>D16</b> <i>inclob</i>	Lobola or bride wealth payments	1    2	<i>inclob_v</i>
<b>D17</b> <i>incgif</i>	Gifts	1    2	<i>incgif_v</i>
<b>D18</b> <i>incloan</i>	Repayments of loans to [...]	1    2	<i>incloan_v</i>
<b>D19</b> <i>incsale</i>	Sale of household goods (e.g. Car, television, refrigerator)	1    2	<i>incsale_v</i>
<b>D20</b> <i>inco</i>	Other (specify)	1    2	<i>inco_v</i>

## Section E: Education

<b>INTERVIEWER READ OUT:</b> We would like to ask about [...]’s education.			
<b>E1</b> <i>edschgrd</i> 	What is the highest grade in school that [...] has successfully completed? (Do not count the final year [...] was in school if he/she did not successfully complete the year.) <b>Interviewer: See code sheet for Education Codes</b> <b>Codes 16 to 24 are not applicable</b>	Highest school grade → <b>if answered 25, SKIP TO SECTION F</b>	
		If other, specify here	
<b>E2</b>	In what year did [...] successfully complete this grade? If you do not know the year, how old was [...] when he/she successfully completed this grade?	E2.1 Year <i>edschyr</i>	
		E2.2 Age <i>edschage</i>	
		Don't know	-9
<b>E3</b> <i>edter</i> 	Has [...] successfully completed any diplomas, certificates or degrees outside of school?	Yes	1
		No → <b>SKIP TO E5</b>	2
		Don't know → <b>SKIP TO E5</b>	-9
<b>E4</b> <i>edterlev</i>	What is the highest level of education [...] successfully completed? Do not include any courses that [...] did not successfully complete. <b>Interviewer: See code sheet for Education Codes.</b> <b>Codes 00 to 15 are not applicable.</b>	Higher education	
		If other, specify here	
<b>E5</b> 	<b>INTERVIEWER CHECK! Is [...] aged between 15 and 30?</b>	Yes	1
		No → <b>SKIP TO SECTION F</b>	2
<b>E6</b> <i>ed08cur</i> 	Is [...] currently enrolled at an education institution such as a school, college or university?	Yes	1
		No → <b>SKIP TO SECTION F</b>	2
<b>E7</b> <i>ed08schname</i> 	Name of school or educational institution that [...] is currently attending?		
<b>E8</b> <i>ed08schloc</i> 	What is the location of this educational institution? <b>Interviewer: Please get street address, neighbourhood (such as Rondebosch, Macazar, Langa, Hanover Park, or Athlone) or other identifying information if in Cape Town or name of the nearest town or city (such as Worcester, Durban, Umtata, or Cofimvaba).</b>		
<b>E9</b> <i>ed08curlev</i>	What level is [...] currently enrolled in? <b>Interviewer: See code sheet for Education Codes</b>	Current enrolment	
		If other, specify here	
<b>E10</b> 	<b>INTERVIEWER CHECK! Is E9 less than 16?</b>	Yes → <b>SKIP TO SECTION F</b>	1
		No	2
<b>E11</b> <i>ed08cursub</i>	What subject or programme is [...] studying?	Arts / humanities	01
		Science	02
		Social science	03
		Law	04
		Theology	05
		Commerce / management	06
		Education	07
		Medical services (incl. dentistry)	08
		Engineering	09
		Administration / clerical	10
		Protection	11
		Building sciences	12
		Technical	13
		Computing	14
		Veterinary	15
Tourism	16		
Beauty / hair / cosmetology	17		
Other (specify) <i>ed08cursub_o</i>	18		

		Don't know	-9
<b>E12</b> <i>ed08curmat</i>	Does this institution require you to have a matric? If yes, does it require you to have matric exemption?	Yes, with matric exemption	1
		Yes, but no exemption required	2
		No	3
		Don't know	-9

## Section F: Health

<b>INTERVIEWER READ OUT:</b> We would like to ask you some questions about [...]’s health.					
<b>F1</b> <i>hldes</i>	How would you describe [...]’s health at present? Would you say it is excellent, very good, good, fair, or poor?	Excellent			1
		Very good			2
		Good			3
		Fair			4
		Poor			5
		Don't know			-9
<b>F2</b>	Has a doctor, nurse or health care professional <u>ever</u> told [...] that he/she has any of the following conditions?		Yes	No	Don't know
		1 - TB (Tuberculosis) <i>hl tb</i>	1	2	-9
		2 - High blood pressure <i>hl bp</i>	1	2	-9
		3 - Diabetes <i>hl dia</i>	1	2	9
		4 - Stroke <i>hl strk</i>	1	2	-9
		5 - Asthma <i>hl ast</i>	1	2	-9
		6 - Heart problems <i>hl hrt</i>	1	2	-9
		7 - Cancer <i>hl can</i>	1	2	-9
<b>F3</b> <i>hl ser</i> 	Does [...] have any other major illnesses or disability not mentioned above?	Yes			1
		No → <b>SKIP TO F5</b>			2
		Don't know → <b>SKIP TO F5</b>			-9
<b>F4</b> <i>hl ser#</i>	If yes, what are they?  <b>Interviewer: Do not read out</b>  <b>Multiple mentions allowed</b>	Physically handicapped			01
		Problems with sight, hearing or speech			02
		Psychological or psychiatric disorder			03
		HIV/AIDS			04
		Epilepsy/ fits			05
		Emphysema			06
		Alzheimer’s disease			07
		Other (Specify) <i>hl_o</i>			08
		Refused			-8
<b>F5</b> <i>hl visaid</i>	Does [...] use spectacles or glasses, including for reading?	Yes			1
		No			2
		Don't know			-9
<b>F6</b> <i>hl hraid</i>	Does [...] use a hearing aid?	Yes			1
		No			2
		Don't know			-9



## Section G: Interviewer evaluation

To be completed by interviewer only

<b>S1</b> <i>intlng#</i>	Languages used during interview  Interviewer: Multiple mention allowed	IsiNdebele	01
		IsiXhosa	02
		IsiZulu	03
		Sepedi	04
		Sesotho	05
		Setswana	06
		Siswati	07
		Tshivenda	08
		Isitsonga	09
		Afrikaans	10
		English	11
		Other (specify) <i>intlng_o</i>	12
<b>S2</b> <i>intres p</i>	In general, how did the respondent act towards you during the interview?	Hostile	1
		Neither hostile nor friendly	2
		Friendly	3
<b>S3</b> <i>intres pact</i>	How attentive was the respondent to the questions during the interview?	Not at all attentive	1
		Somewhat attentive	2
		Very attentive	3
<b>S4</b> <i>intres phear</i>	Were other persons within hearing range at any time during the interview?	No other person within hearing range at any time	1
		1+ persons within hearing range for part of the interview	2
		1+ persons within hearing range for all of the interview	3
<b>S5</b> <i>intres pque</i>	Did more than one person help to complete this questionnaire?	Yes	1
		No	2
<b>S6</b>	If so, which household members helped to complete the questionnaire? Fill in the Pcodes of those who assisted	Pcode <i>intresppid1</i>	
		Pcode <i>intresppid2</i>	
		Pcode <i>intresppid3</i>	
<b>S7</b> <i>intcom</i>	Any additional comments about specific questions or data quality?..... ..... .....		
<b>S8</b> <i>intrvin fo</i>	Did you feel that the information provided by the respondent about the unavailable adult was [...]	Very good	1
		Good	2
		Neither good, nor bad	3
		Bad	4
		Very bad	5
<b>S9</b> <i>intrve nd</i>	Interview end time	_ _ : _ _	

**QUALITY CONTROL RECORD SHEET**

<b>PROBLEM</b>				<b>CORRECTION</b>			<b>CORRECTION CHECKED</b>	
Q number	Date	Initial	Description of problem	Date	Initial	Action taken <b>(See code box)</b>	Date	Initial

<b>Quality control actions taken</b>	
NN	None
RV	Revisit
CB	Call back
OQ	Corrected from other questionnaire
OT	Other (specify)